



FORM PTO-1449

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.
2066.0230003APPLICATION NO.
10/706,070FIRST NAMED INVENTOR
Jeffrey W. WILLISFILING DATE
November 13, 2003ART UNIT
3746

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
1M	AA1	2,864,244	12/1958	Prachar			
	AB1	3,124,924	3/1964	Smith			
	AC1	3,250,973	5/1966	Dawson			
	AD1	3,569,809	3/1971	Comer			
	AE1	3,591,844	7/1971	Schonebeck			
	AF1	3,703,076	11/1972	Hagemeister			
	AG1	3,724,214	4/1973	Bryant			
	AH1	3,764,815	10/1973	Habock <i>et al.</i>			
	AI1	3,809,914	5/1974	Kilgore <i>et al.</i>			
	AJ1	3,829,758	8/1974	Studtmann			
	AK1	3,937,974	2/1976	Lafuze			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
1M	AL1	0 472 294	2/1992	EP			Yes No
	AM1	0 679 800	11/1995	EP			Yes No
	AN1	0 837 231	4/1998	EP			Yes No
	AO1	0 901 218	3/1999	EP			Yes No
	AP1	WO 94/27359	11/1994	WO			Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

1M	AR	1	Japanese Patent Application entitled "Turbogenerator/Motor Control System"; filed May 13, 1999, Japanese Serial No. 133003/1999.
1M	AS	1	Japanese Patent Application entitled "Command and Control System and Method for Multiple Turbogenerators", filed October 27, 1999, Japanese Serial No. 305375/1999.
1M	AT	1	Japanese Patent Application entitled "Turbogenerator/Motor Controller", filed August 4, 1998, Japanese Serial No. 220231/1998.

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
IM	AA2	3,991,357	11/1976	Kaminski			
	AB2	4,005,581	2/1977	Aanstad			
	AC2	4,015,187	3/1977	Sasaki <i>et al.</i>			
	AD2	4,039,914	8/1977	Steigerwald <i>et al.</i>			
	AE2	4,093,869	6/1978	Hoffmann <i>et al.</i>			
	AF2	4,119,861	10/1978	Gocho			
	AG2	4,258,424	3/1981	Giras <i>et al.</i>			
	AH2	4,265,099	5/1981	Johnson <i>et al.</i>			
	AI2	4,292,534	9/1981	Diegel <i>et al.</i>			
	AJ2	4,340,820	7/1982	Meyer-Pittroff <i>et al.</i>			
	AK2	4,401,938	8/1983	Cronin			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
IM	AL2	WO 98/25014	6/1998	WO			Yes
							No
	AM2	WO 99/32762	7/1999	WO			Yes
							No
	AN2	WO 99/52193	10/1999	WO			Yes
							No
	AO2	WO 00/28191	5/2000	WO			Yes
							No
	AP2						Yes
							No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	2	
	AS	2	
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<i>LM</i>	AA3	4,442,385	4/1984	Van Sickle			
	AB3	4,471,229	9/1984	Plohn <i>et al.</i>			
	AC3	4,481,459	11/1984	Mehl <i>et al.</i>			
	AD3	4,498,551	2/1985	Arbisi			
	AE3	4,551,980	11/1985	Bronicki			
	AF3	4,560,364	12/1985	Cohen			
	AG3	4,565,957	1/1986	Gary <i>et al.</i>			
	AH3	4,684,814	8/1987	Radomski			
	AI3	4,709,318	11/1987	Gephart <i>et al.</i>			
	AJ3	4,719,550	1/1988	Powell <i>et al.</i>			
	AK3	4,730,397	3/1988	Weiford <i>et al.</i>			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL3						Yes No
	AM3						Yes No
	AN3						Yes No
	AO3						Yes No
	AP3						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
IM	AA4	4,754,607	7/1988	Mackay			
	AB4	4,757,686	7/1988	Kawamura <i>et al.</i>			
	AC4	4,772,802	9/1988	Glennon <i>et al.</i>			
	AD4	4,786,852	11/1988	Cook			
	AE4	4,802,882	2/1989	Heidrich			
	AF4	4,830,412	5/1989	Raad <i>et al.</i>			
	AG4	4,833,887	5/1989	Kawamura <i>et al.</i>			
	AH4	4,841,216	6/1989	Okada <i>et al.</i>			
	AI4	4,862,009	8/1989	King			
	AJ4	4,883,973	11/1989	Lakey <i>et al.</i>			
	AK4	4,908,565	3/1990	Cook <i>et al.</i>			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL4						Yes No
	AM4						Yes No
	AN4						Yes No
	AO4						Yes No
	AP4						Yes No

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127	AA5	4,939,441	7/1990	Dhyanchand			
	AB5	4,955,199	9/1990	Kawamura			
	AC5	4,967,096	10/1990	Diemer <i>et al.</i>			
	AD5	4,968,926	11/1990	Dhyanchand			
	AE5	4,982,569	1/1991	Bronicki			
	AF5	5,013,929	5/1991	Dhyanchand			
	AG5	5,015,941	5/1991	Dhyanchand			
	AH5	5,029,062	7/1991	Capel			
	AI5	5,038,566	8/1991	Hara			
	AJ5	5,055,764	10/1991	Rozman <i>et al.</i>			
	AK5	5,057,763	10/1991	Torii <i>et al.</i>			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL5						Yes No
	AM5						Yes No
	AN5						Yes No
	AO5						Yes No
	AP5						Yes No

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1/17	AA6	5,068,590	11/1991	Glennon <i>et al.</i>			
	AB6	5,088,286	2/1992	Muraji			
	AC6	5,097,195	3/1992	Raad <i>et al.</i>			
	AD6	5,115,183	5/1992	Kyoukane <i>et al.</i>			
	AE6	5,158,504	10/1992	Stocco			
	AF6	5,191,520	3/1993	Eckersley			
	AG6	5,214,371	5/1993	Naidu			
	AH6	5,237,260	8/1993	Takakado <i>et al.</i>			
	AI6	5,250,890	10/1993	Tanamachi <i>et al.</i>			
	AJ6	5,252,860	10/1993	McCarty <i>et al.</i>			
	AK6	5,260,637	11/1993	Pizzi			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL6						Yes
							No
	AM6						Yes
							No
	AN6						Yes
							No
	AO6						Yes
							No
	AP6						Yes
							No

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1M	AA7	5,281,905	1/1994	Dhyanchand <i>et al.</i>			
	AB7	5,291,106	3/1994	Murty <i>et al.</i>			
	AC7	5,309,081	5/1994	Shah <i>et al.</i>			
	AD7	5,325,043	6/1994	Parro			
	AE7	5,345,154	9/1994	King			
	AF7	5,363,032	11/1994	Hanson <i>et al.</i>			
	AG7	5,364,309	11/1994	Heidrich <i>et al.</i>			
	AH7	5,373,195	12/1994	De Doncker <i>et al.</i>			
	AI7	5,381,081	1/1995	Radun			
	AJ7	5,387,859	2/1995	Murugan <i>et al.</i>			
	AK7	5,404,092	4/1995	Gegner			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL7						Yes No
	AM7						Yes No
	AN7						Yes No
	AO7						Yes No
	AP7						Yes No

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<i>1/4</i>	AA8	5,406,797	4/1995	Kawamura			
	AB8	5,428,275	6/1995	Carr <i>et al.</i>			
	AC8	5,430,362	7/1995	Carr <i>et al.</i>			
	AD8	5,477,091	12/1995	Fiorina <i>et al.</i>			
	AE8	5,488,286	1/1996	Rozman <i>et al.</i>			
	AF8	5,495,162	2/1996	Rozman <i>et al.</i>			
	AG8	5,495,163	2/1996	Rozman <i>et al.</i>			
	AH8	5,510,696	4/1996	Naidu <i>et al.</i>			
	AI8	5,512,811	4/1996	Latos <i>et al.</i>			
	AJ8	5,544,484	8/1996	Voss <i>et al.</i>			
	AK8	5,545,090	8/1996	Kirschey			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL8						Yes No
	AM8						Yes No
	AN8						Yes No
	AO8						Yes No
	AP8						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

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<i>1/17</i>	AA9	5,546,742	8/1996	Shekhawat <i>et al.</i>			
	AB9	5,550,410	8/1996	Titus			
	AC9	5,550,455	8/1996	Baker			
	AD9	5,559,421	9/1996	Miyakawa			
	AE9	5,563,802	10/1996	Plahn <i>et al.</i>			
	AF9	5,568,023	10/1996	Grayer <i>et al.</i>			
	AG9	5,572,108	11/1996	Windes			
	AH9	5,581,168	12/1996	Rozman <i>et al.</i>			
	AI9	5,587,647	12/1996	Bansal <i>et al.</i>			
	AJ9	5,594,322	1/1997	Rozman <i>et al.</i>			
	AK9	5,637,987	6/1997	Fattic <i>et al.</i>			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL9						Yes No
	AM9						Yes No
	AN9						Yes No
	AO9						Yes No
	AP9						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	<u>9</u>	
	AS	<u>9</u>	
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<i>IM</i>	AA10	5,646,458	7/1997	Bowyer <i>et al.</i>			
	AB10	5,656,915	8/1997	Eaves			
	AC10	5,743,227	4/1998	Jacquet <i>et al.</i>			
	AD10	5,767,637	6/1998	Lansberry			
	AE10	5,789,881	8/1998	Egami <i>et al.</i>			
	AF10	5,799,484	9/1998	Nims			
	AG10	5,819,524	10/1998	Bosley <i>et al.</i>			
	AH10	5,847,522	12/1998	Barba			
	AI10	5,903,116	5/1999	Gels <i>et al.</i>			
	AJ10	5,905,346	5/1999	Yamada <i>et al.</i>			
	AK10	5,929,537	7/1999	Glennon			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL10						Yes No
	AM10						Yes No
	AN10						Yes No
	AO10						Yes No
	AP10						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	<u>10</u>	
	AS	<u>10</u>	
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<i>LM</i>	AA11	5,936,312	8/1999	Koide <i>et al.</i>			
	AB11	5,939,794	8/1999	Sakai <i>et al.</i>			
	AC11	5,946,086	8/1999	Bruce			
	AD11	5,982,645	11/1999	Levran <i>et al.</i>			
	AE11	5,998,976	12/1999	Steffan			
	AF11	6,005,297	12/1999	Sasaki <i>et al.</i>			
	AG11	6,020,713	2/2000	Gels <i>et al.</i>			
	AH11	6,023,135	2/2000	Gilbreth <i>et al.</i>			
	AI11	6,031,294	2/2000	Gels <i>et al.</i>			
	AJ11	6,051,951	4/2000	Arai <i>et al.</i>			
	AK11	6,054,776	4/2000	Sumi			

FOREIGN PATENT DOCUMENTS

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	AL11						Yes No
	AM11						Yes No
	AN11						Yes No
	AO11						Yes No
	AP11						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	11	
	AS	11	
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<i>W</i>	AA12	6,064,122	5/2000	McConnell	/		
	AB12	6,087,734	7/2000	Maeda <i>et al.</i>			
	AC12	6,107,775	8/2000	Rice <i>et al.</i>			
	AD12	6,147,414	11/2000	McConnell <i>et al.</i>			
	AE12	6,153,942	11/2000	Roseman <i>et al.</i>			
	AF12	6,169,332 B1	1/2001	Taylor <i>et al.</i>			
	AG12	6,169,334 B1	1/2001	Edelman			
	AH12	6,175,210 B1	1/2001	Schwartz <i>et al.</i>			
	AI12	6,194,794 B1	2/2001	Lampe <i>et al.</i>			
	AJ12	6,093,975	7/2000	Peticolas			
	AK12	6,526,757	03/2003	Mackay			

FOREIGN PATENT DOCUMENTS

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	AL12						Yes No
	AM12						Yes No
	AN12						Yes No
	AO12						Yes No
	AP12						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AR	12	
AS	12	
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<i>JWM</i>	AA13	6,606,864	08/2003	Mackay			
	AB13	3,500,105	02/1971	Clifford			
	AC13	3,623,317	11/1971	Foster-Pegg			
	AD13	4,062,184	12/1977	Hagen			
	AE13	4,724,670	02/1988	Greer			
	AF13	4,781,527	11/1988	Miller			
	AG13	4,785,624	11/1988	Smith <i>et al.</i>			
	AH13	2,772,636	12/1956	Yates			
	AI13	3,161,020	12/1964	LaHaye			
	AJ13	3,313,103	01/1967	Johnson			
	AK13	4,039,804	08/1977	Reed <i>et al.</i>			

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	AL13						Yes No
	AM13						Yes No
	AN13						Yes No
	AO13						Yes No
	AP13						Yes No

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	AS	<u>13</u>	
	AT	<u>13</u>	

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<i>14</i>	AA14	6,487,096	11/2002	Gilbreth <i>et al.</i>			
	AB14	20020099476	07/2002	Hamrin <i>et al.</i>			
	AC14	3,313,103	04/1967	Johnson			
	AD14	3,740,948	01/1973	Kellett			
	AE14	5,490,376	02/1996	Van Der Burgt			
	AF14	6,003,297	12/1999	Ziener			
	AG14	2,285,976	06/1942	Huitson			
	AH14	3,396,083	03/1946	Chase			
	AI14						
	AJ14						
<i>4</i>	AK14						

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL14						Yes No
	AM14						Yes No
	AN14						Yes No
	AO14						Yes No
	AP14						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

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EXAMINER

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